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OF THE STATE OF UTAH

COMPLAINANT:

UTAH INSURANCE DEPARTMENT

RESPONDENT:

UNITED AGENCIES OF CALIFORNIA, INC. 301 East Colorado Blvd., Suite 200 Pasadena, CA 91101 License No. 5800 STIPULATION & ORDER

Docket No. 2009-084 LC

Enf. Case No. <u>2397</u>

STIPULATION

- 1. Respondent, United Agencies of California, Inc., is a licensed non-resident insurance agency in the State of Utah, holding License No. 5800.
 - 2. Respondent stipulates with the Complainant, Utah Insurance Department, as follows:
- a. If a hearing were held, witnesses called by the Complainant could offer and introduce evidence that would support the Findings of Fact herein;
 - b. Respondent admits the Findings of Fact and Conclusions made therefrom;
- c. Respondent stipulates to the summary entry of the Order herein which shall be in lieu of other administrative proceedings by Complainant in this matter; and

W. 417833

d. Respondent and Complainant have negotiated the terms of the Order entered herein and Respondent agrees to its entry and further agrees to be bound by all its terms.

3. Respondent is aware of its right to a hearing at which it may be represented by counsel, present evidence and cross-examine witnesses. Respondent has irrevocably waived its right to such hearing and to any appeal related thereto.

4. Respondent admits the jurisdiction of the State of Utah Insurance Commissioner as to all matters herein.

5. Respondent is acting herein free from any duress or coercion of any kind or nature, having been advised fully as to its rights set forth herein.

6. Respondent acknowledges that the issuance of this Order by the Commissioner is solely for the purpose of disposition of the matter entitled herein.

DATED this 25 day of June, 2009.

UNITED AGENCIES OF CALIFORNIA, INC.

Gary Conkey, President

UTAH INSURANCE DEPARTMENT

M. Gale Lemmon, Assistant Attorney General

Based upon the foregoing Stipulation and information in the file, the Presiding Officer makes the following Findings of Fact:

FINDINGS OF FACT

- 1. On or about February 19, 2008, the State of Nevada took administrative action against the Respondent for filing an incomplete form, assessing a forfeiture of \$1,500.00
- 2. Respondent failed to notify the commissioner the action by Nevada within 30 days of the final disposition of the action.
- 3. The following states took administrative action against the Respondent on the dates indicated for failing to report the action taken by Nevada, and assessing the forfeitures indicated:

Delaware	November 17, 2008	\$200.00;
Missouri	January 30, 2009	\$500.00; and
Alabama	February 11, 2009	\$250.00.

4. Respondent failed to report these additional state actions within 30 days of the final disposition of these actions.

Based upon the foregoing Stipulation and Findings of Fact, the Presiding Officer enters the following Conclusions of Law:

CONCLUSIONS OF LAW

1. In failing to report administrative actions taken by other states against the Respondent to the commissioner within 30 of the final disposition of those actions, Respondent violated Utah Code Annotated § 31A-23a-105(2)

Based upon the foregoing Stipulation, Findings of Fact and Conclusions of Law, the Presiding Officer herewith enters the following Order:

<u>ORDER</u>

IT IS HEREBY ORDERED:

1. Respondent is assessed an administrative forfeiture in the amount of \$500.00, to be paid within 30 day of the date of this Order.

NOTIFICATION

Respondent is hereby notified that failure to abide by the terms of this Order may subject it to further penalties, including additional forfeitures of up to \$5,000.00 per violation and the suspension or revocation of its license, and the filing of an action to enforce this Order in the District Court, which may impose penalties of up to \$10,000.00 per day for continued violation.

You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

DATED this ______ day of _________, 2009.

D. KENT MICHIE INSURANCE COMMISSIONER

MARK E. KLEINFIELD, Esq.

Administrative Law Judge Utah Insurance Department

State Office Building, Room 3110

Salt Lake City, Utah 84114

Telephone (801) 538-3800

CERTIFICATE OF MAILING

I do hereby certify that on this date I mailed, by regular mail, postage prepaid a true and correct copy of the attached:

STIPULATION TO EXTEND PERFORMANCE

To the following:

United Agencies of California, Inc. 301 East Colorado Blvd., Suite 200 60 East South Temple Pasadena, CA 91101

DATED this 01st day of July, 2009.

Linda Hardy

Court Clerk

Utah Department of Insurance State Office Building, Room 3110 Salt Lake City, UT 84114-6901